

CÔNG TY CỔ PHẦN
THỦY ĐIỆN MIỀN TRUNG

CENTRAL HYDROPOWER
JOINT STOCK COMPANY

CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No.: 1112/EVNCHP-TCKT

Đà Nẵng, ngày 28 tháng 8 năm 2017
Danang, 28 August 2017

**CÔNG BỐ THÔNG TIN
TRÊN CỔNG THÔNG TIN ĐIỆN TỬ
CỦA ỦY BAN CHỨNG KHOÁN NHÀ
NƯỚC VÀ SGDCK TP.HCM**

**DISCLOSURE OF INFORMATION ON
THE STATE SECURITIES
COMMISSION'S PORTAL AND
HOCHIMINH STOCK EXCHANGE'S
PORTAL**

SỞ GIAO DỊCH CHỨNG KHOÁN
TP.HỒ CHÍ MINH

ĐẾN

Số: 28970

Ngày: 29/8/17

Chuyển:

MY GS

Lưu hồ sơ số:

Kính gửi/ To: - Ủy ban Chứng khoán Nhà nước/ The State Securities
Commission
- Sở Giao dịch chứng khoán TP.HCM/ Hochiminh Stock Exchange

- Tên tổ chức /Organization name: Công ty Cổ phần Thủy điện miền Trung/ Central Hydropower Joint Stock Company.
- Mã chứng khoán/ Securities Symbol: CHP
- Địa chỉ trụ sở chính/ Address: Lô 57B, đường số 6, Khu công nghiệp Đà Nẵng, quận Sơn Trà, thành phố Đà Nẵng/ Lot 57B, street No.6, Danang Industrial Zone, Son Tra district, Danang city.
- Điện thoại/ Telephone : 0236 3959110/ + 84 236 3959110
- Fax : 0236 3935960/ + 84 236 3935960
- Người thực hiện công bố thông tin/ Submitted by: Ông Trương Công Giới/ Mr. Trương Công Giới

Chức vụ/ Position: Tổng Giám đốc / General Director

Loại thông tin công bố : ☒ định kỳ ☐ bất thường ☐ 24h ☐ theo yêu cầu

Information disclosure type: ☒ Periodic ☐ Irregular ☐ 24 hours ☐ On demand

Nội dung thông tin công bố (*)/ Content of Information disclosure (*):

Báo cáo tình hình quản trị công ty của Công ty Cổ phần Thủy điện miền Trung (6 tháng) - bản tiếng Anh/ Report on corporate governance of Central Hydropower Joint Stock Company (6 months) - English version.

Đối với trường hợp thay đổi, thay thế thông tin đã công bố, cần nêu rõ nguyên nhân thay đổi hoặc thay thế.

In case the company accurates or replaces information(s) that were disclosed. Company shall make a reason for the replacement or correction.

Lý do/ Reason:



Thông tin này đã được công bố trên trang thông tin điện tử của công ty/quỹ vào ngày 28/8/2017 tại đường dẫn: <http://www.chp.vn/CoDong.html>

This information was disclosed on Company/Fund's Portal on date 28 August 2017. Available at: <http://www.chp.vn/CoDong.html>

Tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./

I declare that all information provided in this paper is true and accurate; I shall be legally responsible for any misrepresentation.

Tài liệu đính kèm: Báo cáo tình hình quản trị công ty của Công ty Cổ phần Thủy điện miền Trung (bản tiếng Anh).

Attachment: Report on corporate governance of Central Hydropower Joint Stock Company (English version).

Tài liệu liên quan đến việc CBTT / Documents related to the disclosure

Đại diện tổ chức

Organization representative

Người đại diện theo pháp luật/Người UQ CBTT

Legal representative/Party authorized to disclose information



TỔNG GIÁM ĐỐC

Trương Công Giới



Ref.: 08/EVNCHP-HDQT

Danang, July 24th, 2017

REPORT ON CORPORATE GOVERNANCE OF LISTED COMPANY
(6 months)

To: - The State Securities Commission
- The Stock Exchange

- Name of listed company: Central Hydropower Joint Stock Company
- Address of head office: Lot 57B, street No. 6, Danang Industrial Zone, Son Tra district, Danang city.
- Telephone: +84 236 3959 110 Fax: +84 236 3935 960 Email: bttwebchp@gmail.com
- Charter capital: VND 1,259,995,110,000
- Securities code: CHP

I. Activities of the General Meeting of Shareholders:

Information on meetings and resolutions / decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders adopted in the form of written comments):

The 2017 Annual General Meeting of Shareholders of Central Hydropower Joint Stock Company on April 20, 2017 adopted the following resolution.

No.	Resolution/Decision No.	Date	Content
1	01/2017/NQ-CHP-HDCD	April 20, 2017	<p>It was resolved:</p> <p>Article 1. To approve the report on the 2016 production and business results and 2017 plan of the company.</p> <p>Article 2. To approve the 2016 financial statements of the company audited by AAC Auditing and Accounting Co., Ltd.</p> <p>Article 3. To approve the report on activities of the Board of Directors in 2016.</p> <p>Article 4. To approve the report of the Supervisory Board on inspection and supervision over the company's activities in 2016.</p> <p>Article 5. To approve the distribution plan of 2016 profit and expected dividends of 2017.</p> <p>Article 6. To change some contents in the enterprise registration certificate of the company.</p>

No.	Resolution/Decision No.	Date	Content
			<p>Article 7. To approve investment plan of the Cu Jut solar power project in Cu Jut district, Dak Nong province.</p> <p>Article 8. To approve the remuneration of the Board of Directors, salary and remuneration of the Supervisory Board in 2016.</p> <p>To adopt the remuneration of the Board of Directors, salary and remuneration of the Supervisory Board in 2017.</p> <p>Article 9. To appoint AAC Auditing and Accounting Co., Ltd to audit the 2017 financial statements. In case of failure in negotiation and signing a contract between the company and AAC Auditing and Accounting Co., Ltd, the General Meeting of Shareholders authorises the Board of Directors to select another auditing company on the list of auditing companies announced by the State Securities Commission on the basis of meeting the requirements and assurance of the company's benefits.</p> <p>Article 10. To approve the resignation of the following members of the Board of Directors and the Supervisory Board:</p> <ul style="list-style-type: none"> • Resignation of Mr. Le Kim Hung - Member of the Board of Directors since July 30th 2016 due to retirement; • Resignation of Mr. Nguyen Tuan Anh - Member of the Board of Directors since September 7th 2016 because Power Generation Corporation 1 sold its entire stake in Central Hydropower Joint Stock Company; • Resignation of Mr. Nguyen Danh Duyen - Member of the Board of Directors since September 29th 2016 because Hanoi Power Corporation sold its entire stake in Central Hydropower Joint Stock Company; • Resignation of Mr. Tran Van Thuong - Member of the Supervisory Board since September 29th 2016 because Hanoi Power Corporation sold its entire stake in Central Hydropower Joint Stock Company;

No.	Resolution/Decision No.	Date	Content
			<p>Article 11. To approve that the number of members of the Board of Directors for the third term (2015-2020) is 7 people including 2 independent members.</p> <p>Article 12. To approve that the number of members of the Supervisory Board for the third term (2015 - 2020) is 3 people.</p> <p>Article 13. To approve the result of electing member of the Board of Directors of Hydropower Joint Stock Company for the third term (2015-2020) as follows:</p> <p>Approval of additional election result of Mr. Pham Sy Hung - Deputy General Director of Central Power Corporation (EVNCPC) as a member of the Board of Directors since August 1st 2016 representing for EVNCPC's contributed capital at Central Hydropower Joint Stock Company with a capital contribution ratio of 12.97% of the charter capital.</p> <p>Article 14. To approve the list of elected member of the Board of Directors for the third term (2015 - 2020) as follows:</p> <p>Mr. Nguyen Quang Quyen has a total number of 87,988,315 votes, making up 100%.</p> <p>Article 15. Implementation of the resolution.</p>

II. Board of Directors (six-month report):

1. Information about members of the Board of Directors:

No.	BOD's member	Position	Day becoming/no longer a member of the Board	Number of attendance of the Board's meeting	Attendance percentage	Reasons for absence
1	Mr. Pham Ngoc Le	Chairman	Reappointed on April 23, 2015	3/3	100%	
2	Mr. Pham Sy Hung	Member	Appointed on August 1, 2016	3/3	100%	
3	Mr. Truong Cong Gioi	Member	Reappointed on April 23, 2015	3/3	100%	
4	Mr. Nguyen Quang Quyen	Member	Appointed on April 20, 2017	0/3	0%	Appointed on April 20, 2017
5	Mr. Ho Quoc	Member	Appointed on	2/3	100%	Busy with

No.	BOD's member	Position	Day becoming/no longer a member of the Board	Number of attendance of the Board's meeting	Attendance percentage	Reasons for absence
	Viet		April 23, 2015			business
6	Mr. Nguyen Huu Tam	Independent member	Appointed on April 23, 2015	3/3	100%	
7	Mr. Nguyen Huu Phuong	Independent member	Appointed on April 23, 2015	3/3	100%	

2. Supervision by the Board of Directors over the Management Board:

Pursuant to the company's charter and the regulation on corporate governance, the Board of Directors has inspected and monitored activities of the Management Board in implementing the resolutions of the General Meeting of Shareholders and the Board of Directors during the first 6 months of 2017 as follows.

Through the Board's meetings and means of communication, the Board of Directors has regularly inspected and monitored the activities of the Management Board in implementing the 2017 goals and business plan approved by the Annual General Meeting of Shareholders.

The Board of Directors has regularly directed and discussed with the Management Board to find solutions to reach the 2017 production and business goals.

The Board of Directors has also amended the Corporate Governance Regulations in line with the 2014 Enterprise Law and added good governance practices that are widely applied worldwide in the Corporate Governance Regulations to improve the company's governance.

3. Activities of subcommittees of the Board of Directors:

Currently, there are three subcommittees under the Board of Directors. In the first 6 months of 2017, the subcommittees operated in accordance with their responsibilities and obligations as stipulated in the Company's Charter and Corporate Governance Regulations as follows.

* *Development Policy Subcommittee:*

- Review and verification of short-term and long-term plans for production and business development of the company;
- Review, research and evaluation of factors and trends affecting the business activities of the company;
- Defining annual goals and tasks based on strategic goals approved by the General Meeting of Shareholders;
- Verification of investment and construction plan of the company.

* *Finance and Risk Management Subcommittee:*

- Research on short-term and long-term financial strategies of the company.
- Verification of budget and long-term plans of the company;
- Verification and proposal on dividend rate.
- Monitoring the integrity of financial statements of the company, competence and independence of independent auditors.

* *Human Resources and Compensation Subcommittee:*

- Review of scale, structure, organization and personnel that are suitable for the scale of

operation and development strategies of the company;

- Verification of regime and policy on salary of the company;

4. Resolutions/decisions of the Board of Directors (six-month report):

No.	Resolution/Decision No.	Date	Content
1	01/2017/NQ-CHP-HDQT	January 18 th , 2017	<p>It was resolved:</p> <ol style="list-style-type: none">1. To submit to the General Meeting of Shareholders for approval of results of business, production and construction investment in 2016.2. To submit to the General Meeting of Shareholders the distribution plan of 2016 after-tax profit and expected dividend for 2017.3. To allow the company to continue to study the Cu Jut solar power project in Dak Nong province with a capacity of 50MW and authorize the General Director to approve the contractor selection plan for consultancy package for preparation of additional planning documents, sign a contract for preparation of additional planning documents and planning agreements with functional agencies for the Cu Jut solar power project in Dak Nong province. <p>The company shall continue to monitor and register the investment in solar power project in Ninh Thuan province and report to the Board timely when the province's solar power planning is approved.</p> <ol style="list-style-type: none">4. To develop the Cu Jut solar power project in Dak Nong province under the Clean Development Mechanism (CDM) to obtain additional revenue from the sale of emission reduction certificates, enhance feasibility of the project and receive incentives prescribed by the State for investment projects under the clean development mechanism.5. To amend the Corporate Governance Regulations of Central Hydropower Joint Stock Company.6. To allow the company to invest in planning and building some new work items at the production operating house of the A Luoi

No.	Resolution/Decision No.	Date	Content
			<p>hydropower plant.</p> <p>7. To approve the labor & salary plan in 2017 based on evaluation results of the Human Resources and Compensation Subcommittee.</p> <p>8. To approve the contractor selection plan of bidding packages for the production in 2017.</p> <p>9. To allow the company to buy a lot of land in the center of Da Nang city with an area of 500-1000m² to build the company's head office in Da Nang city to relocate the company's office out of Danang Industrial Zone based on the Announcement No. 363/TB-VPCP dated November 4th, 2016 of the Government Office.</p> <p>10. To allow the company to hire a consulting company to prepare maps of geological engineering and geological hydrology around the slope of the plant to supplement research documents and determine the cause of rising groundwater level during rainy season.</p>
2	02/2017/NQ-CHP-HDQT	March 8 th , 2017	<p>It was resolved:</p> <p>1. To approve the adjustment of goals and plans for 2017 (increasing compared with the goals and plans approved by the Board of Directors at the Resolution of the first meeting of the Board in 2017).</p> <p>2. To approve the plan to solve the rising groundwater level at the slope of the A Luoi hydropower plant.</p> <p>3. To assign the General Director of the company to find a land lot with large area and reasonable price that is near the river or the sea to build the head office building and serve the production and business of the company in Danang city.</p> <p>4. To approve the company's 2016 implemented salary fund.</p> <p>5. To approve the organizing work of the 2017 Annual Meeting of Shareholders and agree on the content to be submitted to the 2017 Annual General Meeting of Shareholders.</p>
3	03/2017/NQ-CHP-	April 19 th ,	It was resolved:

No.	Resolution/Decision No.	Date	Content
	HDQT	2017	<p>1. To approve the program of the 2017 Annual General Meeting of Shareholders.</p> <p>2. The number of candidates for members of the Board of Directors through nomination and self-nomination to the end of the nomination and self-nomination deadline at 4.00 pm on April 18th, 2017 was still not enough so the Board of Directors agreed to nominate Mr. Nguyen Quang Quyen (ID No. 024511552, issued on May 31, 2006 at Ho Chi Minh City Police) for the position of member of the Board of Directors of the third term (2015-2020) of Central Hydropower Joint Stock Company at the 2017 Annual General Meeting of Shareholders.</p> <p>3. To approve the contractor selection plan to prepare feasibility study for the Cu Jut solar power project in DakNong province if the 2017 Annual General Meeting of Shareholders approves the investment plan of the Cu Jut solar power project in Dak Nong province.</p> <p>4. To approve the contractor selection plan for the package 11/2017/XL-AL to build the warehouse in Hong Ha commune, the A Luoi hydropower plant.</p> <p>5. To adjust the decision approving the investing, planning and building a number of work items at the production operating house of the A Luoi hydropower plant.</p>

III. Supervisory Board (six-month report):

1. Information about members of Supervisory Board:

No.	Members of Supervisory Board	Position	Date becoming /no longer a member of Supervisory Board	Number of attendance of meetings	Attendance percentage	Reason for absence
1	Mr. Trinh Quang Viet	Chief supervisor	Appointed on March 31, 2016	01	100%	
2	Mr. Nguyen Van Danh	Member	Appointed on March 31, 2016	01	100%	

No.	Members of Supervisory Board	Position	Date becoming /no longer a member of Supervisory Board	Number of attendance of meetings	Attendance percentage	Reason for absence
3	Mr. Pham Viet Thien	Member	Appointed on March 31, 2016	01	100%	

2. Supervision by Supervisory Board over Board of Directors, Management Board and shareholders:

In the first 6 months of 2017, the Supervisory Board supervised over the activities of the Board of Directors and Management Board in the performance of their duties and powers in accordance with the Company's Charter and internal regulations of the Company as follows.

- Supervising the implementation of the Resolutions approved at the 2017 Annual General Meeting of Shareholders.
- Supervising the implementation of production and business activities and the company's governance in the first 6 months of 2017.
- Reviewing the content and data of the reports on business activities and financial statements in the first 6 months of 2017.
- Supervising the Company's disclosure of information on completeness, accuracy and timeliness as prescribed for the information to be disclosed.

The Supervisory Board had a report on review and evaluation of the management and operation of the Board of Directors and the Management Board at the 2017 Annual General Meeting of Shareholders.

3. Coordination between Supervisory Board, Board of Directors, Management Board and other managers:

- The coordination between the Supervisory Board, the Board of Directors, the Management Board and other managers always ensures the principle of compliance with the law, the company's regulations and for the common interest of the company and the shareholders.
- The Supervisory Board is always provided with full information and documents on governance, operation and decisions of the Board of Directors and the Management Board during operation.
- The Board of Directors and the Management Board have created favorable conditions for the Supervisory Board to fulfill its responsibilities as regulated. The Board's meetings and other meetings at the company are attended by the Supervisory Board. The recommendations and proposals of the Supervisory Board are also considered and directed by the Board of Directors and the Management Board to the relevant departments for research and implementation.
- Supervisory Board's members perform their duties with care, without obstructing the activities of the Board of Directors and the Management Board.

4. Other activities of Supervisory Board (if any): None

IV. Training on corporate governance:

Training courses on corporate governance which members of Board of Directors, the Supervisory Board, the Director (General Director), other managers and company secretary were involved in accordance with regulations on corporate governance: None

V. List of affiliated persons of listed company as specified in clause 34, Article 6 of the Securities Law (six-month report) and transactions of affiliated persons of the company with the company):

1. List of affiliated persons of the company:

No.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm/yyyy)	Time of no longer an affiliated person (dd/mm/yyyy)	Reason
I Board of Directors								
1	Pham Ngoc Le		Chairman			23/4/2015		
2	Truong Cong Gioi		Member, General Director			23/4/2015		
3	Pham Sy Hung		Member			01/8/2016		
4	Nguyen Quang Quyen		Member			20/4/2017		
5	Ho Quoc Viet		Member			23/4/2015		
6	Nguyen Huu Phuong		Independent member			23/4/2015		
7	Nguyen Huu Tam		Independent member			23/4/2015		
II Supervisory Board								
1	Trinh Quang Viet		Chief supervisor			31/3/2016		
2	Nguyen Van Danh		Member			31/3/2016		
3	Pham Viet Thien		Member			31/3/2016		
III Management Board								
1	Truong Cong Gioi		Board's member, General Director			23/4/2015		
2	Lam Uyen		Deputy General Director			01/12/2014		
3	Le Quy Anh Tuan		Deputy General Director			01/6/2013		
IV Chief accountant								
1	Huynh Mai		Chief accountant			01/12/2014		
V Major shareholders								
1	Southern Power Corporation		0300942001 07/04/2010 Department of Planning and Investment of HCM city	72 Hai Ba Trung street, Dist. 1, Ho Chi Minh city		30/11/2004		
2	Central Power Corporation		0400101394 07/12/2015	78A Duy Tan street, Hoa		30/11/2004		

N o.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm/yyyy)	Time of no longer an affiliated person (dd/mm/yyyy)	Reason
			Department of Planning and Investment of Danang city	Thuan Dong ward, Hai Chau Dist., Danang city				
3	Refrigeration Electrical Engineering Corporation		0300741143 29/12/1993 Department of Planning and Investment of HCM city	364 Cong Hoa street, ward 13, Tan Binh Dist., Ho Chi Minh city		08/12/2016		

2. Transactions between the company and the affiliated persons or between the company and leading shareholders, internal persons and related persons of internal persons: No transaction.

3. Transactions between internal person of listed company, related persons of internal persons and company's subsidiaries, companies controlled over by the listed company: No transaction.

4. Transactions between the company and other objects:

4.1. Transactions between the company and companies that members of the Board of Directors, members of the Supervisory Board, the Director (General Director) have been founding members or members of Board of Directors, Director (General Director) in three (03) recent years (calculated at the time of reporting): No transaction.

4.2. Transactions between the company and companies that related persons of members of the Board of Directors, members of the Supervisory Board, the Director (General Director) are members of Board of Directors, Director (General Director): No transaction.

4.3. Other transactions of the company (if any) that may bring material or nonmaterial benefits to members of the Board of Directors, members of the Supervisory Board, the Director (General Director): No transaction.

VI. Transactions of internal persons and related persons of internal person (six-month report):

1. List of internal persons and their related persons:

N o.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
I	Pham Ngoc Le		Chairman			23,153,344	18.38%	Representative for contributed capital of Southern Power Corporation
						1,055,250	0.84%	Personal ownership
	Related person of Mr. Pham Ngoc Le							

N o.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentag e of share ownership at the end of the period	Note
1	Pham Thi Thu Thuy					116,550	0.09%	Wife
	Related entity of Mr. Pham Ngoc Le							
2	Southern Power Corporation				Mentioned in V.1	28,941,680	22.97%	Deputy General Director
II	Pham Sy Hung		Member			16,341,729	12.97%	Representative for contributed capital of Central Power Corporation
						10,500	0.0083%	Personal ownership
	Related persons of Mr. Pham Sy Hung							
1	Le Thi Vinh					0	0%	Wife
2	Pham Thi Hien Trang					0	0%	Daughter
3	Pham Ngoc Tra My					0	0%	Daughter
4	Pham Le Dan Thy					0	0%	Daughter
5	Pham Thi Nhiem					0	0%	Elder sister
6	Pham Thi Diem					0	0%	Elder sister
7	Pham Thi Hoa					0	0%	Elder sister
	Related entities of Mr. Pham Sy Hung							
8	Central Power Corporation				Mentioned in V.1	28,941,680	22.97%	Deputy General Director
9	Central Power Investment and Development Joint Stock Company				10 Lam Son street, Nha Trang city, Khanh Hoa province			Vice Chairman of the Board
10	Khanh Hoa Power Joint Stock Company				11 Ly Thanh Ton street, Nha Trang city, Khanh Hoa province			Member of the Board
11	Tra Xom Hydropower Joint Stock Company				278 Nguyen Thi Dinh street, Quy Nhon city, Binh Dinh province			Member of the Board
III	Nguyen Quang Quyen		Member			23,892,185	18.96%	Representative for contributed capital of Refrigeration Electrical

N o.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentag e of share ownership at the end of the period	Note
								Engineering Corporation
						0	0%	Personal ownership
	Related persons of Mr. Nguyen Quang Quyen							
1	Pham Thi Hai Binh					0	0%	Wife
2	Nguyen Quang					0	0%	Son
3	Pham Quoc Binh					0	0%	Son
	Related entities of Mr. Nguyen Quang Quyen							
4	Refrigeration Electrical Engineering Corporation				Mentioned in V.1	23,892,185	18.96%	Investment Director
5	Thac Ba Hydropower Joint Stock Company				Thac Ba town, Yen Binh district, Yen Bai province	0	0%	Member of the Board
6	Thac Mo Hydropower Joint Stock Company				Long Thuy ward, Phuoc Long city, Binh Phuoc province	0	0%	Member of the Board
7	Ba Ha River Hydropower Joint Stock Company				02C Tran Hung Dao street, Tuy Hoa city, Phu Yen province	0	0%	Member of the Board
8	Pha Lai Thermal Power Joint Stock Company				Pha Lai ward, Chi Linh city, Hai Duong province	0	0%	Member of the Board
IV	Truong Cong Gioi		Member - General Director			12,599,951	10%	Representative for contributed capital of Central Power Corporation
						56,490	0.04%	Personal ownership
	Related persons of Mr. Truong Cong Gioi							
1	Dang Thi Cuc					120,750	0.10%	Wife
2	Truong Cong Nhan					0	0%	Son
3	Truong Cong Dao					0	0%	Son
4	Truong Cong Doi					0	0%	Younger brother
5	Truong Cong Lap					0	0%	Younger brother
	Related entity of Mr. Truong Cong Gioi							

N o.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentag e of share ownership at the end of the period	Note
6	Central Power Corporation				Mentioned in V.1	28,941,680	22.97%	Representative for contributed capital
V	Ho Quoc Viet		Member			5,788,336	4.59%	Representative for contributed capital of Southern Power Corporation
						183,000	0.15%	Personal ownership
	Related persons of Mr. Ho Quoc Viet							
1	Ho Van Mien					0	0%	Father
2	Vo Thi Huu					0	0%	Mother
3	Ho Dang Khanh Ngan					0	0%	Daughter
4	Ho Quoc Dang Hung					0	0%	Son
5	Ho Phong Son					0	0%	Younger brother
6	Ho Thi Tuyen					0	0%	Younger sister
	Related entity of Mr. Ho Quoc Viet							
7	Southern Power Corporation				Mentioned in V.1	28,941,680	22.97%	Representative for contributed capital
VI	Nguyen Huu Phuong		Independent member			114,500	0.09%	
	Related persons of Mr. Nguyen Huu Phuong							
1	Nguyen Hoang Vu					0	0%	Son
2	Nguyen Thuy Hoang Dung					0	0%	Daughter
3	Nguyen Thi Ngoc Ha					0	0%	Daughter
4	Nguyen Huu Phuc					0	0%	Son
5	Nguyen Thi My					0	0%	Younger sister
VII	Nguyen Huu Tam		Independent member			94,500	0.08%	
	Related persons of Mr. Nguyen Huu Tam							
1	Tran Thi Chau					94,500	0.08%	Wife
2	Nguyen Thi Tran Thao					15,750	0.01%	Daughter
3	Nguyen Huu Hieu					0	0%	Son
VIII	Trinh Quang Viet		Chief supervisor			10,500	0.01%	
	Related persons of Mr. Trinh Quang Viet							
1	Le Thi Tam					0	0%	Mother
2	Dang Thi Diem My					0	0%	Wife
3	Trinh Quang Khang					0	0%	Son
4	Trinh Quang Nhat					0	0%	Son
5	Trinh Quang Vu					0	0%	Elder brother

N o.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentag e of share ownership at the end of the period	Note
6	Trinh Thi Thuy Van					0	0%	Elder sister
IX	Nguyen Van Danh		Member			0	0%	
<i>Related persons of Mr. Nguyen Van Danh</i>								
1	Nguyen Buong					0	0%	Father
2	Ly Thi Bach					0	0%	Mother
3	Nguyen Thi Trang					0	0%	Wife
4	Nguyen Van Tam					0	0%	Elder brother
5	Nguyen Thi Thanh					0	0%	Elder sister
6	Nguyen Van Len					0	0%	Younger brother
<i>Related entity of Mr. Nguyen Van Danh</i>								
7	Southern Power Corporation . Ltd				Mentioned in V.1	28,941,680	22.97%	
X	Pham Viet Thien		Member			0	0%	
<i>Related persons of Mr. Pham Viet Thien</i>								
1	Pham Viet Vu					0	0%	Father
2	Tran Thi Luan					0	0%	Mother
3	Tran Thi Thu Suong					0	0%	Wife
4	Pham Ngoc Diep					0	0%	Daughter
5	Pham Viet Thanh Tri					0	0%	Son
6	Pham Viet Vuong					0	0%	Younger brother
<i>Related entity of Mr. Pham Viet Thien</i>								
7	Central Power Corporation				Mentioned in V.1	28,941,680	22.97%	
XI	Lam Uyen		Deputy General Director			68,250	0.05%	
<i>Related persons of Mr. Lam Uyen</i>								
1	Nguyen Ba Quynh Anh					0	0%	Wife
2	Lam Vi Quan					0	0%	Daughter
3	Lam Nhat Huy					0	0%	Son
4	Lam Tuya					0	0%	Elder brother
5	Lam Thi Uong					0	0%	Younger sister
6	Lam Uong					0	0%	Younger brother
XII	Le Quy Anh Tuan		Deputy General Director			36,750	0.03%	
<i>Related persons of Mr. Le Quy Anh Tuan</i>								
1	Le Quy Hoa					0	0%	Father
2	Hoang Thi Thu					17,850	0.014%	Wife
3	Le Hoang Minh Thu					0	0%	Daughter
4	Le Quy Hoang Thuc					0	0%	Son
5	Le Thi Thanh Thuy					0	0%	Elder sister

N o.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card /Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Le Thi Song Huong					0	0%	Younger sister
7	Le Quy Anh Hung					0	0%	Younger brother
XIII	Huynh Mai		Chief accountant			42,000	0.03%	
<i>Related persons of Mr. Huynh Mai</i>								
1	Huynh Thi Kim Uyen					45,975	0.04%	Wife
2	Huynh Bao Thoa					0	0%	Daughter
3	Huynh Tuan Khai					0	0%	Son
4	Huynh Binh					0	0%	Younger brother

Note: The above-mentioned data on ownership of shares based on the list of shareholders dated May 25th, 2017.

2. Transactions of internal persons and affiliated persons on shares of the listed company:

No.	Conductor of transaction	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reason for increase or decrease (purchase, sale, conversion, reward, etc)
			Number of shares	Percentage	Number of shares	Percentage	
1	Refrigeration Electrical Engineering Corporation	Major shareholder	17,710,205	14.06%	23,892,185	18.96%	Purchase

Note: Transactions of internal persons and related persons are taken from reports on transactions of internal persons and related persons.

VII. Other significant issues: No.

CHAIRMAN OF THE BOARD

(Signed and sealed)

Pham Ngoc Le